



APPENDIX

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known	
Application Number	10/666,318
Filing Date	September 18, 2003
First Named Inventor	Roger W. Phillips, et al.
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	48930-01030

Sheet 4 of 5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	64	John M. McKiernan, et al., "Luminescence and Laser Action of Coumarin Dyes Doped in Silicate and Aluminosilicate Glasses Prepared by the Sol-Gel Technique," Journal of Inorganic and Organometallic Polymers, Vol. 1, No. 1, 1991, pages 87-103.
	65	Jeffery I. Zink, et al., "Optical Probes and Properties of Aluminosilicate Glasses Prepared By The Sol-Gel Method," Polym. Mater. Sci. Eng., pages 204-208 (1989).
	66	Don W. Tomkins, Kurz Hastings, "Transparent Overlays for Security Printing and Plastic ID Cards," Pages 1-8, November, 1997.
	67	The Mearl Corporation brochure for "Mearl Iridescent Film," Peekskill, NY.
	68	J.A. Dobrowolski; K.M. Baird; P.D. Carman; and A. Waldorf, "Optical Interference Coatings for Inhibiting of Counterfeiting," Optica Acta, 1973, Vol. 20, No. 12, 925-937.
	69	The R.D. Mathis Company Manual for "Thin Film Evaporation Source Reference," Long Beach, CA.
	70	Minolta Manual for "Precise Color Communication, Color Control From Feeling to Instrumentation," Pages 18, 20, 22, 23, 46, 47, 48 and 49.
	71	Frans Defilet, LGZ Landis & Gyr Zug Corporation, "Kinograms 'Optical Variable Devices' (OVD's) For Banknotes, Security Documents and Plastic Cards," San Diego, April 1-3, 1987
	72	S.P. McGrew, "Hologram Counterfeiting: Problems and Solutions," SPIE, Volume 1210 Optical Security and Anticounterfeiting Systems, 1990, Pages 66-76.
	73	Rudolf L. van Renesse, "Security Design of Valuable Documents and Products," SPIE, Vol. 2659, June 1996, Pages 10-20.
	74	Steve McGrew, "Countermeasures Against Hologram Counterfeiting," Internet site www.iea.com/nli/publications/countermeasures.htm , January 6, 2000.
	75	Roger W. Phillips, "Optically Variable Films, Pigments, and Inks," SPIE Vol. 1323, Optical Thin Films III: New Developments, 1990, Pages 98-109.
	76	Roger W. Phillips, and Anton F. Bleikolm, "Optical Coatings for Document Security," Applied Optics, Vol. 35, No. 28, October 1, 1996, Pages 5529-5534.

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